



#7

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0004-02000
InterTrust Ref. No.: IT-6.1.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Karl L. GINTER, et al.) Group Art Unit: 2767
)
Application No.: 09/632,944) Examiner: Not Yet Assigned
)
Filed: August 4, 2000)
)
For: TRUSTED AND SECURE)
TECHNIQUES, SYSTEMS AND)
METHODS FOR ITEM DELIVERY)
AND EXECUTION)

Commissioner for Patents and Trademarks
Washington, DC 20231

RECEIVED
NOV 30 2001
Technology Center 2100

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Copies of the listed documents, including any copending patent applications, are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: November 27, 2001

By: 

Jason E. Gordon
Reg. No. 46,784

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L.L.P.
STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIF. 94304
650-849-6800

INFORMATION DISCLOSURE CITATION

Atty. Docket No. 07451.0004-02000	Serial No. 09/632,944
Applicants Karl L. GINTER, et al.	
Filing Date August 4, 2000	Group: 2767

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,388,211	02/95	Hornbuckle			
	5,432,950	07/95	Sibigroth			
	5,819,263	10/98	Bromley et al.			
	5,842,173	11/98	Strum et al.			
	5,892,900	04/99	Ginter et al.			
	5,910,987	06/99	Ginter et al.			
	5,915,019	06/99	Ginter et al.			
	5,917,912	06/99	Ginter et al.			
	5,920,861	07/99	Hall et al.			
	5,940,504	08/99	Griswold			
	5,940,505	08/99	Kanamaru			
	5,943,422	08/99	Van Wie et al.			
	5,949,876	09/99	Ginter et al.			
	5,982,891	11/99	Ginter et al.			
	6,009,170	12/99	Sako et al.			
	5,999,949	12/99	Crandall			
	6,112,181	08/00	Shear et al.			
	6,138,119	10/00	Hall et al.			
	6,157,721	12/00	Shear et al.			
	US 6,185,683 B1	02/01	Ginter et al.			
	US 6,237,786 B1	05/01	Ginter et al.			
	US 6,240,185 B1	05/01	Van Wie et al.			
	US 6,253,193 B1	06/01	Ginter, et al.			
	US 6,292,569 B1	09/01	Shear et al.			

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
-----------------	------------------	---------	-------	-----------	-----------------------

INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

#7

Atty. Docket No.	07451.0004-02000	Serial No.	09/632,944
Applicants	Karl L. GINTER, et al.		
Filing Date	August 4, 2000	Group:	2767

RECEIVED
NOV 30 2001
Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	06-225059	08/94	Japan			Abstract only
	EP 0 398 645 B1	11/90	EPO			
	EP 0 570 123 B1	11/93	EPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Olin Sibert et al., <u>DigiBox: A Self-Protecting Container for Information Commerce</u> , Proceedings of the First USENIX Workshop on Electronic Commerce, New York, NY, July 1995, 9 pages.
	Olin Sibert et al., <u>Securing the Content, Not the Wire</u> , for Information Commerce, InterTrust Technologies Corporation, 1996, 12 pages.

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce